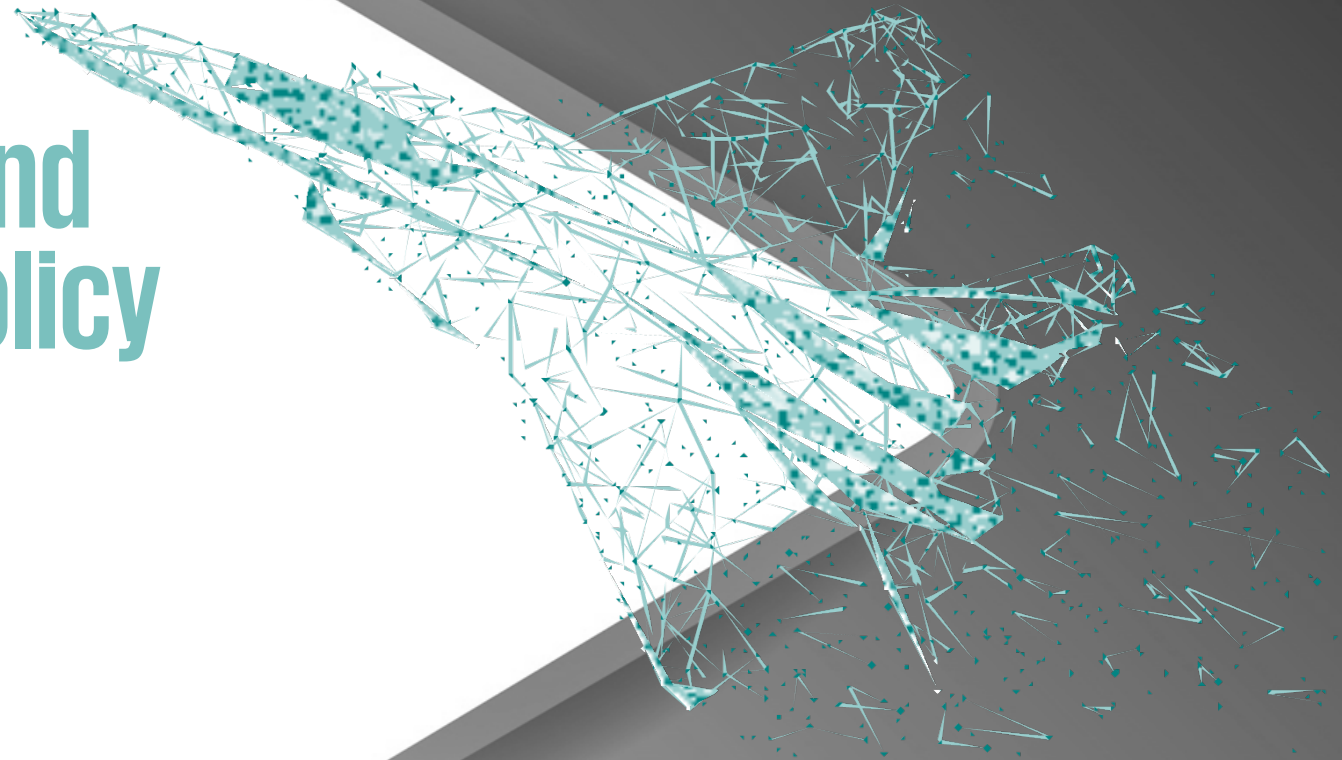


UP Defence Industrial Corridor and UP Defence & Aerospace and employment promotion policy

Presentation for Udyami Mitra

UP Defence Industrial Corridor, UPEIDA

1st June 2023





Emerging opportunities in Defence Manufacturing in India

Rise in Global Military Expenditure

1

Total global military expenditure increased by 3.7 per cent in real terms in 2022, to reach a new high of \$2.2 Trillion.

2

World military spending grew for the eighth consecutive year in 2022.

3

The combined military expenditure of countries in Asia and Oceania was \$575 billion. This was 2.7 per cent more than in 2021 and 45 per cent more than in 2013.

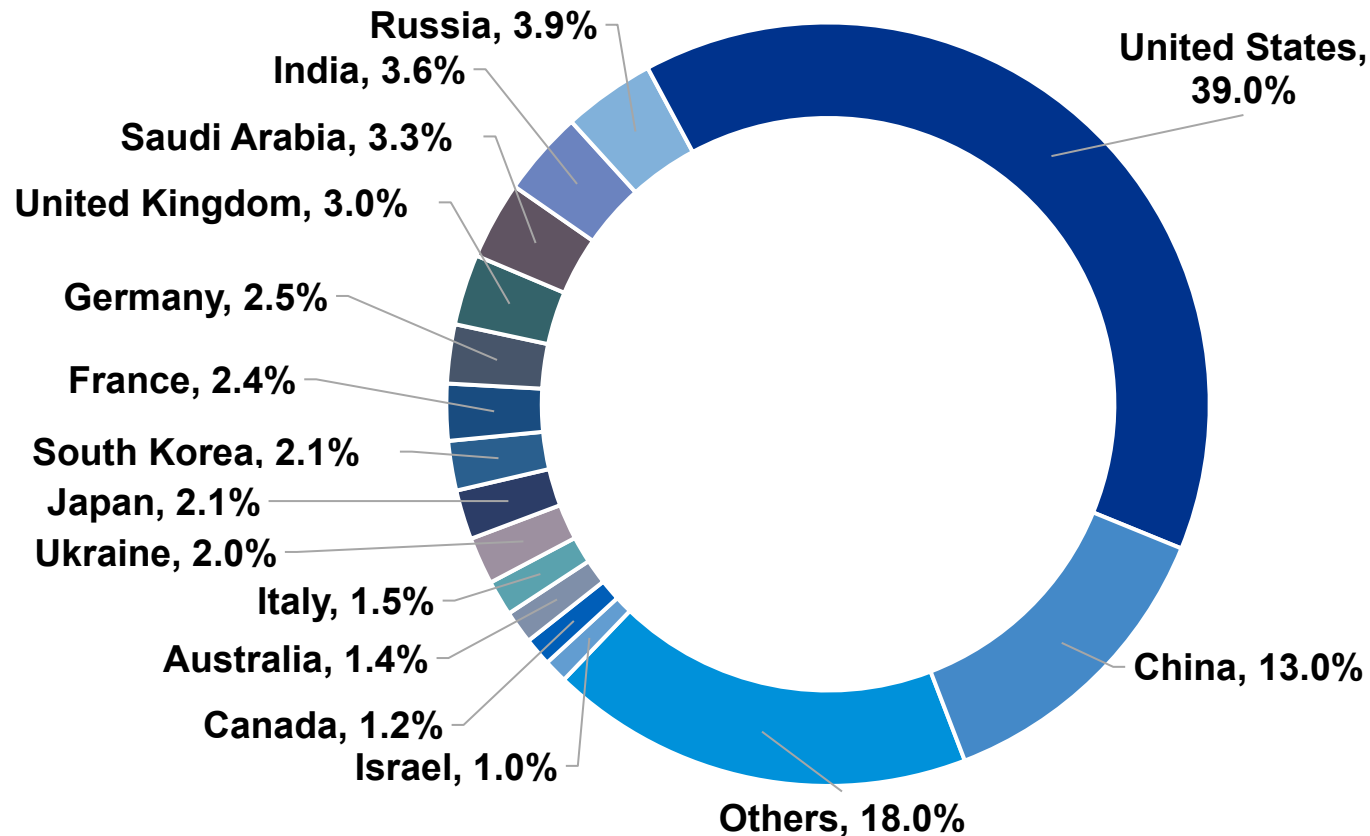
4

Military expenditure was greatly affected by Russia-Ukraine conflict.



Military expenditure around the globe

The share of World Military Expenditure of the 15 countries with the highest spending in 2022



India's military spending was the 4th highest in the world in 2022.



Accounts for 3.6% of World Military Expenditure and has plans to expand.

Indian Defence Manufacturing Ecosystem : Scope & Expanse

1

Defence Budget for 2023-24 is ~\$72 Bn, of which ~\$21 Bn Modernization Budget.

2

16 DPSUs (\$ 8.5 Bn) - manufacturing tanks, warships, aircrafts, ammunition etc. and employing around 200,000 people in over 70 factories all over the country.

3

52 DRDO Labs - Private involvement in R&D increasing. Defence R&D will be opened up for industry, start-ups and academia with 25 per cent of the defence R&D budget earmarked.

4

Defence sector opened to private participation only in 2001. Since then, several Private Sector companies incl ~ 12000 MSMEs actively involved in this sector.



Indian Defence Industry : Growing Figures

1

Value of defence production in 2022-23 is a rise of more than 12 per cent over the figure in 2021-22. Present value stands at ~\$13 billion.

2

~200% increase in number of Defence Industrial licenses granted in the last 7 – 8 years.

3

Defence Exports reached an all-time high of ~\$2 billion in 2022 - 2023. a 10-fold jump in 6 years.

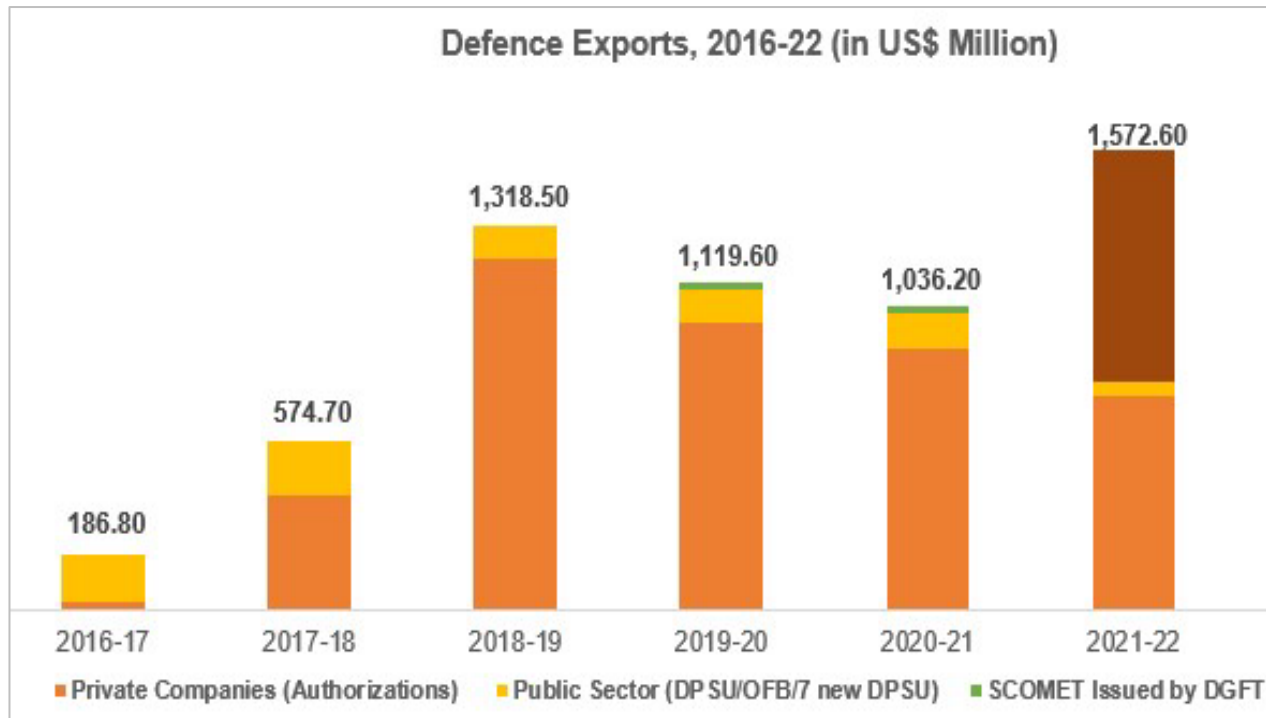
4

The recent increase in FDI Limit (to 74% via automatic route) and delicensing of defence items are expected to attract more foreign investment.



Growth of Indian Exports

India's exports were negligible in 2010 and started to pick up pace from 2014.
Private Sector has increased its contribution significantly.



Figures from December 2022

Defence Exports Rise 23 Times

- India's defence exports have reached an all-time high, surging from **Rs. 686 Crore in FY 2013-14 to nearly Rs. 16,000 Crore in FY 2022-23.**
- This remarkable **23-fold increase** reflects India's progress in the global defence manufacturing sector.
- The expenditure on defence procurement from foreign sources has reduced from **46% of overall expenditure in 2018-19 to 36.7% in December 2022.**

Policy Enablers

- Defence Acquisition Procedure 2020
 - *New Categories {Lease, Make-III, Schemes under iDeX & TDF, Buy (Global – manufacture in India, ITC Acquisition)}.*
 - ***Enhanced Indigenous Content across Categories.***
- Release of **4 Positive Indigenisation Lists.**
- Enhanced **FDI Limit of 74 %** through Automatic Route in Defence Sector.
- Separate Budget for Domestic Acquisition INR 72,000 Cr (USD 9.8 Bn).
- **Defence Production and Export Promotion Policy 2020**
 - *US\$ 25 Bn Defence Industry Production, including US\$ 5 Bn exports by 2025.*
 - *Self-reliance in all major Defence Segments.*



Opportunities for Collaboration

- Government of India plans to spend approx. \$250 Billion over the next decade for the modernization of its Defence Forces.
- All capability acquisition projects are undertaken under the provisions of erstwhile DPP (for schemes already in progress) and the updated DAP 2020. Foreign OEMs can participate in projects under DAP 2020 in the following ways: -
 - ***Technology / product / components / parts / raw materials***
 - ***Technology Collaborator to Indian industries***
 - ***Joint Venture with Indian Companies***
 - ***Owned Subsidiaries***



Advantage India

Growing Demand



- Demand growth is likely to accelerate with rising concerns of national security.
- Till October 2022, a total of 595 Industrial Licences have been issued to 366 companies operating in Defence Sector.
- Defence exports grew by 334% in last five years; India now exporting to over 75 countries due to collaborative efforts.

Competitive Advantage



- India has the world's fourth-largest defence expenditure, as of 2022, and expects to export equipment worth US\$ 15 billion by 2026.
- The Government of India opened the defence industry for private sector participation to provide impetus to indigenous manufacturing.
- As per the Union Budget 2022-23, 25% of defence R&D budget has been earmarked for private industry and start-ups which will pave the way for innovation of new defence technologies in India.

Government Support



- With Government initiatives, the expenditure on defence procurement from foreign sources which used to be 46% of the overall expenditure has reduced to 36% in the last four years i.e. 2018-19 to 2021-22.
- Under the Atmanirbhar Bharat Initiative, four positive indigenization lists of 411 products have been promulgated by Department of Military Affairs and MoD to be manufactured domestically for the defence sector, instead of being sourced via imports.
- SRIJAN portal launched to promote indigenization. 19,509 defence items, have been displayed on the portal for indigenisation

Opportunities



- Government has established 2 Defence Industrial Corridors in Uttar Pradesh and Tamil Nadu.
- India has around 194 defence tech startups building innovative tech solutions to empower and support the country's defence efforts.

Areas of Opportunity



Missiles



Advanced Simulators



Unmanned Systems



Radars and Surveillance



Protective Gear



Armoured Vehicles



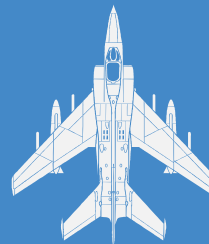
Small Arms & Ammunition

Major Programs

- Light Tank
- Next Generation ICV
- Autonomous Aerial and Land Platforms
 - Surveillance
 - AI enabled Decision Support
 - Capable to Loiter and release payload
- Light Utility Helicopters



- Fighter Aircrafts
- Medium Transport
- Refueller
- Multi Utility Helicopters
- Unmanned Aerial Systems



- AIP based Conventional Submarines P75 I
- Futuristic Missile Corvettes
- Next generation NPV
- Carrier based fighter aircraft
- Naval Utility Helicopters
- Multi Role Helicopters {24 MH-60R multi-mission helicopters, FMS}
- Unmanned Autonomous Aerial, Surface and underwater platforms





Defence Corridor

Defence Corridor in India

In the General Budget 2018-19, Central Government announced the setting up of 02 Defence Industrial Corridors in the country to promote indigenous design and development of defence equipment.

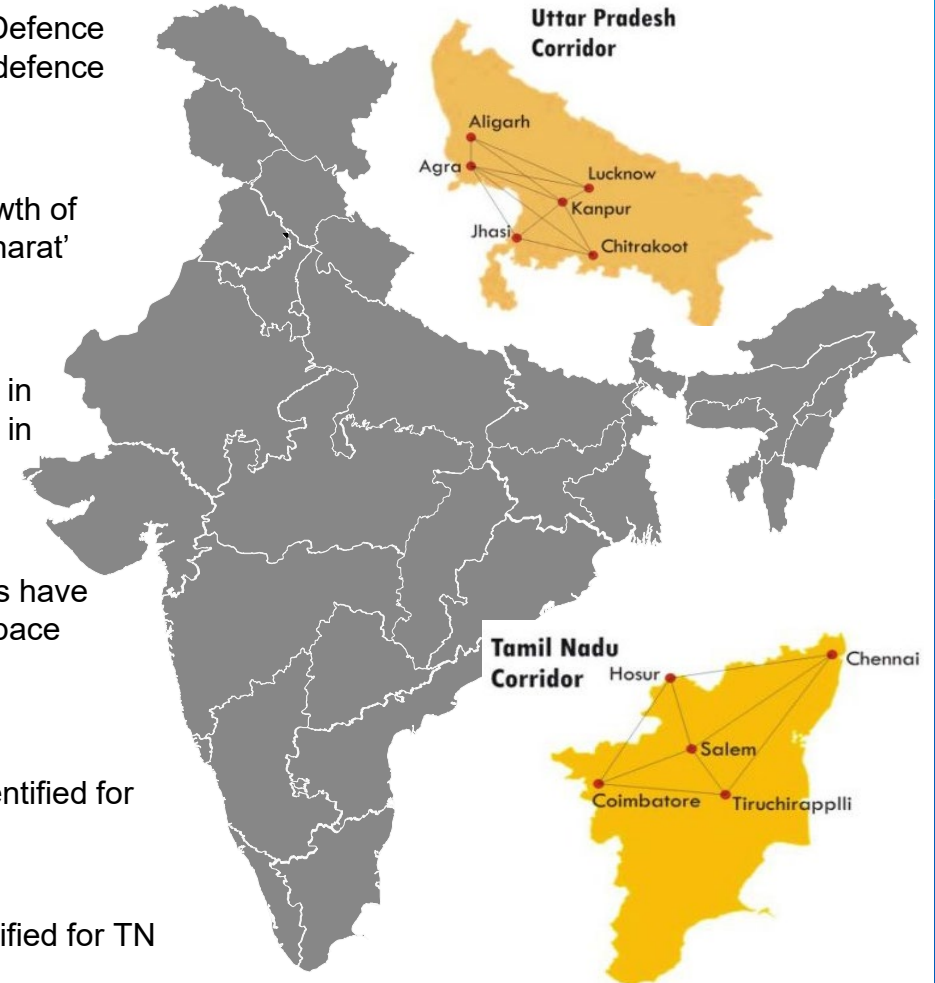
Under the Make in India scheme, the Indian Government has been encouraging the growth of domestic manufacturing with the aim towards self-reliance by promoting 'Atmanirbhar Bharat' in the defence sector.

The Government has established two Defence Industrial Corridors in the country, one in Uttar Pradesh namely Uttar Pradesh Defence Industrial Corridor (UPDIC) and other in Tamil Nadu namely Tamil Nadu Defence Industrial Corridor (TNDIC).

With an aim to attract investment of about Rs 10,000 crore in each corridor. The nodes have been selected based on high potential for creation of end-to-end ecosystem for Aerospace and Defence sector development covering design, engineering and manufacturing.

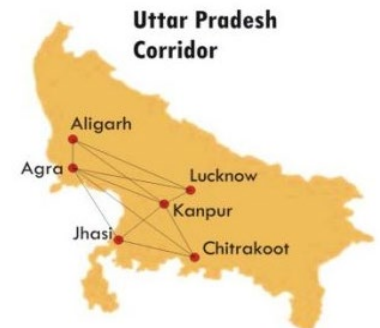
Six nodes, namely Aligarh, Agra, Chitrakoot, Jhansi, Kanpur and Lucknow have been identified for UP Defence Industrial Corridor.

Five nodes, namely Chennai, Coimbatore, Hosur, Salem and Tiruchirappalli have been identified for TN Defence Industrial Corridor.



Defence Corridor in India

- ▶ The nodal agency for UP Defence Industrial Corridor is Uttar Pradesh Expressways Industrial Development Authority (UPEIDA) and for TN Defence Industrial Corridors the nodal agency Tamil Nadu Industrial Development Corporation (TIDCO).
- ▶ Setting up of Defence Industrial Corridors aim to catalyse indigenous production of defence and aerospace related items, thereby reducing our reliance on imports and promoting export of these items to other countries which may create ample employment opportunities and growth of private domestic manufacturers; Micro, Small and Medium Enterprises (MSMEs); and start-ups.
- ▶ UP Government promulgated 'Uttar Pradesh Defence & Aerospace Unit and Employment Promotion Policy' in 2018 and TN Government promulgated 'Tamil Nadu Aerospace and Defence Industrial Policy' in the year 2019, offering incentives to the companies in form of Stamp duty incentives, Land cost incentives, Electricity tax exemption etc.
- ▶ Further, basic infrastructure support such as internal roads, drainage system, water and electricity supply etc. are also provided by the State Governments.





Uttar Pradesh Defence Industrial Corridor

UPDIC – At a glance

1

Uttar Pradesh Defence Industrial Corridor (UPDIC) is one of the flagship projects of Government of Uttar Pradesh that intends to reduce foreign dependency of Indian Aerospace & Defence (A&D) Sector. UPEIDA was made the nodal agency to execute this project in conjunction with various other state agencies.

2

The mission aims to bring up the state as one of the largest & most advanced aerospace & defence manufacturing hubs and put it on the world map. The aerospace and defence sector in India is rapidly growing and becoming indigenous, with UPDIC playing a vital role in this development.

3

These nodes are in six districts (Aligarh, Agra, Chitrakoot, Jhansi, Kanpur and Lucknow) of Uttar Pradesh where land banks have been/are being created by the state along with provision of required infrastructure in terms of industrial grade power, water, roads and last mile connectivity. These nodes are chosen due to the proximity to various state & national highways as well as supported by a network of expressways (existing and under construction).

Multi modal connectivity

Key highlights

- Expressways: 06 (11,737 kms)
- National highways: 47
- Total airports: 19
 - Domestic: 14
 - International: 05
- Railway network: 8949 kms of rail connectivity
- 57% EDFC crossing the state.
- 15% Delhi - Mumbai industrial corridor passes through the state.



Industry ecosystem in Uttar Pradesh

Uttar Pradesh, India's most populous state, is rapidly emerging as a frontrunner in the aerospace and defence sector. With its strategic location, robust infrastructure, skilled workforce, and proactive government policies, Uttar Pradesh offers a multitude of advantages for the growth and development of the aerospace and defence industries.

Node	Existing industry
Aligarh	Metal works and hardware
Agra	Leather, textile and rubber
Lucknow	Automotive, machine tools, steel and plastics
Kanpur	Leather and textile
Jhansi & Chitrakoot	Electronics, repairing and overhaul of machinery, Lead acid batteries

DPSUs presence in UP (1/2)



**Lucknow,
Kanpur and
Korwa**

Hindustan Aeronautics Limited (HAL):

Lucknow: Manufactures aircraft systems and accessories for Aircraft, Helicopters, and Engines. At present, over 1400 different types of accessories are being manufactured and maintained by Accessories Division, Lucknow.

Kanpur: HAL Transport Aircraft Divisions, core competence in the manufacture, maintenance, modification, and upgrade of light transport aircraft and trainer aircraft for both domestic and international markets.

Korwa: HAL Avionics Division manufacture and has repair facilities for various avionics systems fitted on Mirage-2000, LCA, jaguar upgrade, AJT-HAWK aircraft



**Agra
and
Kanpur**

Defence Research and Development Organisation:

Aerial Delivery Research & Development Establishment (ADRDE), Agra is a pioneer R&D lab of DRDO for the Design and Development of Aerodynamic Decelerators, and Aerostat Systems. The charter of duties of the establishment includes the development of Paratrooper Parachute Systems, Aircrew Parachute System, Ammunition Parachute System, Brake Parachute, Recovery Parachute System, Aerial Delivery Parachute Systems, Heavy Drop Systems, Inflatable Systems, Airship Technologies, and Aircraft Arrestor Barrier System etc.

Defence Materials & Stores R&D Establishment (DMSRDE), Kanpur is working in the area of functional non-metallic materials which include polymers, polymer matrix composites, elastomers, carbonaceous nanostructured materials, stealth materials, polymer precursors, ceramic matrix composites (CMCs), camouflage textile, specialty clothing and shelter for services, fuels, and lubricants.

DPSUs presence in UP (2/2)



Kanpur

Advanced Weapons and Equipment India Limited (AWEIL), headquartered at Kanpur has 8 factories across India located at Kanpur, Cossipore, Jabalpur, Korwa, is engaged in the business of manufacturing weapons and equipment of both small and large caliber.



Kanpur

Troop Comforts Limited (TCL), has 4 factories located at Kanpur, Shahjahanpur, Avadi and Hazratpur and is engaged in the business of manufacturing troop comfort items like Uniforms, ECC, tents etc.



Kanpur

Gliders India Limited (GIL), has 1 factory located in Kanpur and is engaged in the business of manufacturing parachutes including Brake Parachutes for Fighter aircraft.



Ghaziabad

Bharat Electronics Limited (BEL), a manufacturing unit located at Ghaziabad and is engaged in the business of Network Centric Systems, Radars, Antennae, Satcom (Defence) and Microwave Components.:

BEL R&D facility: Central research laboratory Ghaziabad (CRL-GAD) has key technology areas C4I software architecture, multi platform, multi-sensor, data fusion / MSDF engine, geographic information system customization, software base radar scan conversion, network management and system health monitoring, communication protocol, defence experimentation war gaming and briefing tools, etc.

UPDIC – So far

Investment (proposed by industries): INR 20764.61 Cr

Employment (proposed by industries) : 38,381

Total MoUs signed – 131

Industrial MoUs: 106

36 – Industries to whom Land Allotted

49 – Industries to be applied/applied for Land

21 – Newly Signed defence industries MoUs on Nivesh Sarathi

Institutional MoUs: 25

DPSU presence

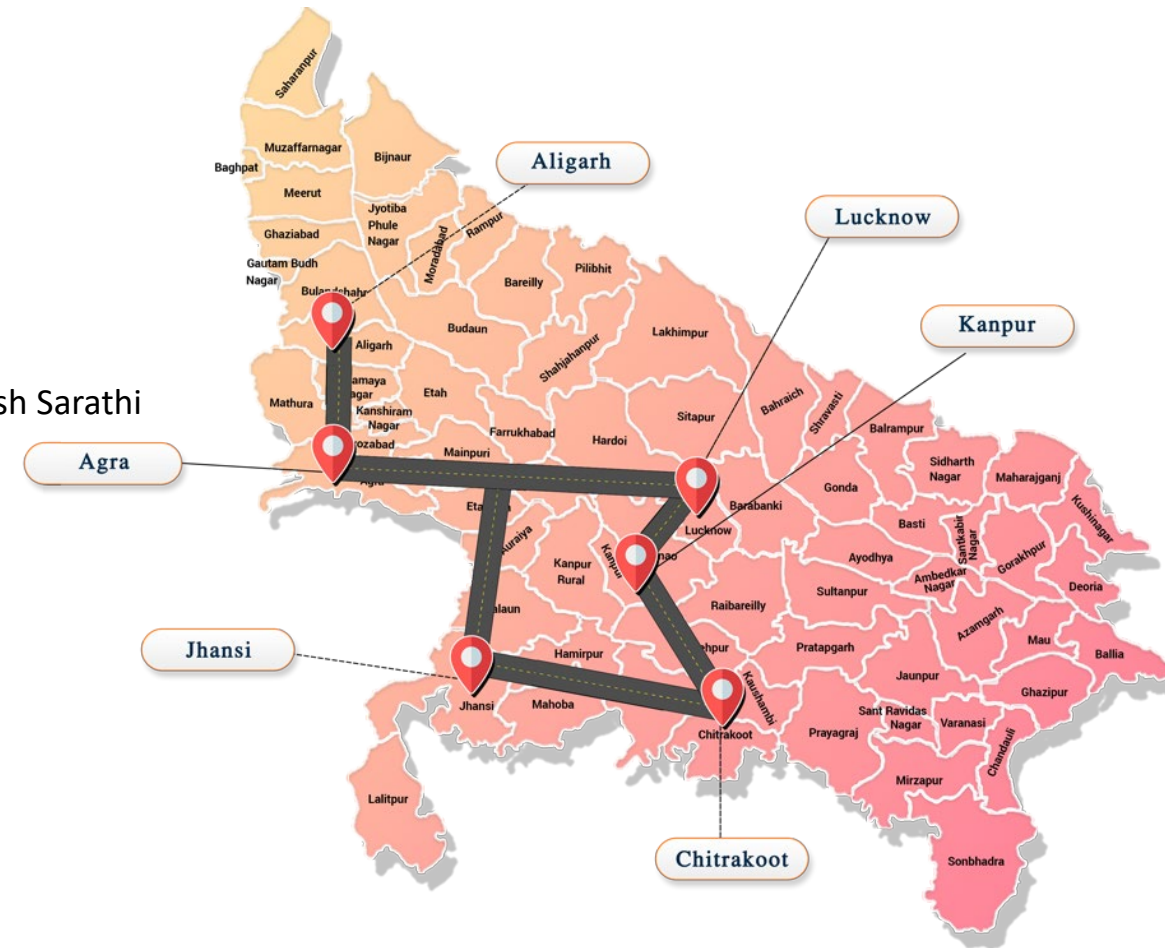
BEL, HAL, TCL, GIL, AWEIL, ADRDE, DMSRDE

07 banking partners, 03 knowledge partners and
03 skill partners

Total land Procured (In Ha): 1620.92

Cumulative figures of land allotted (for 36 industries)

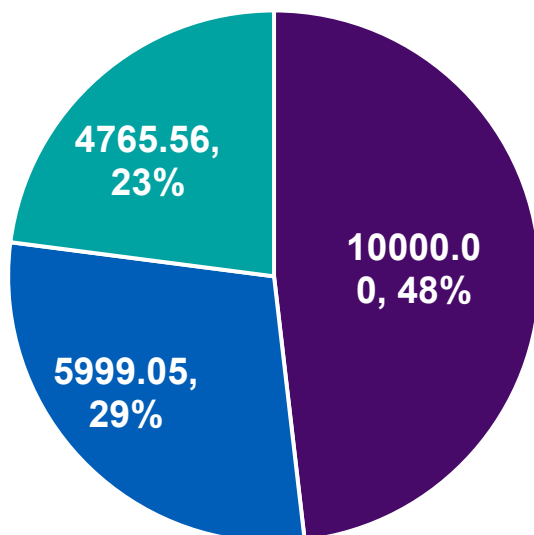
- Land allotted: 583.541 Ha
- Likely employment: 9521
- Investment (proposed by industries): INR 4661.38 crores



Cumulative Investment, Employment and Land requirement (proposed by investors)

Investment Intent

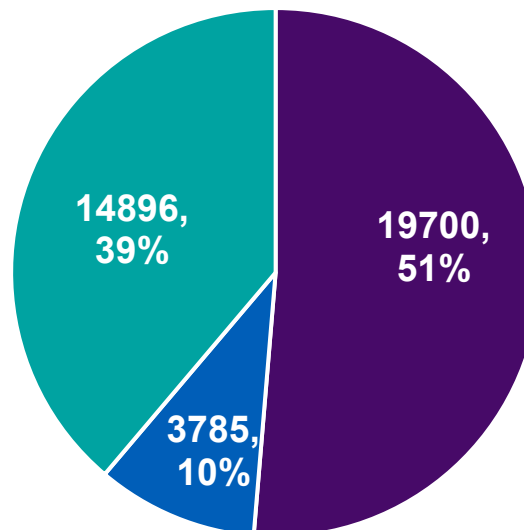
Total Investment : INR 20764.61 Cr



■ Mega Anchor Units ■ Anchor Units ■ MSMEs Units

Employment

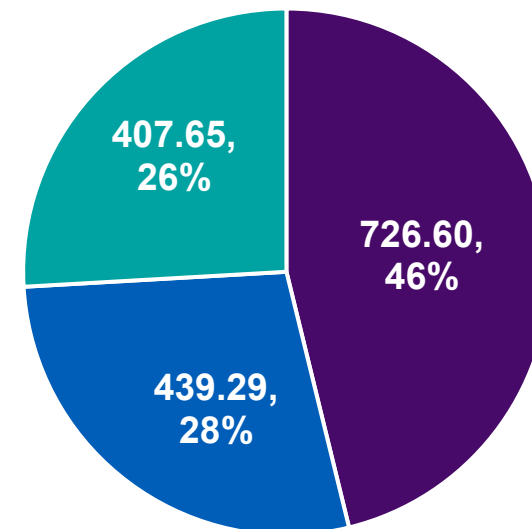
Total Employment : 38,381



■ Mega Anchor Units ■ Anchor Units ■ MSME Units

Land Required (in Ha)

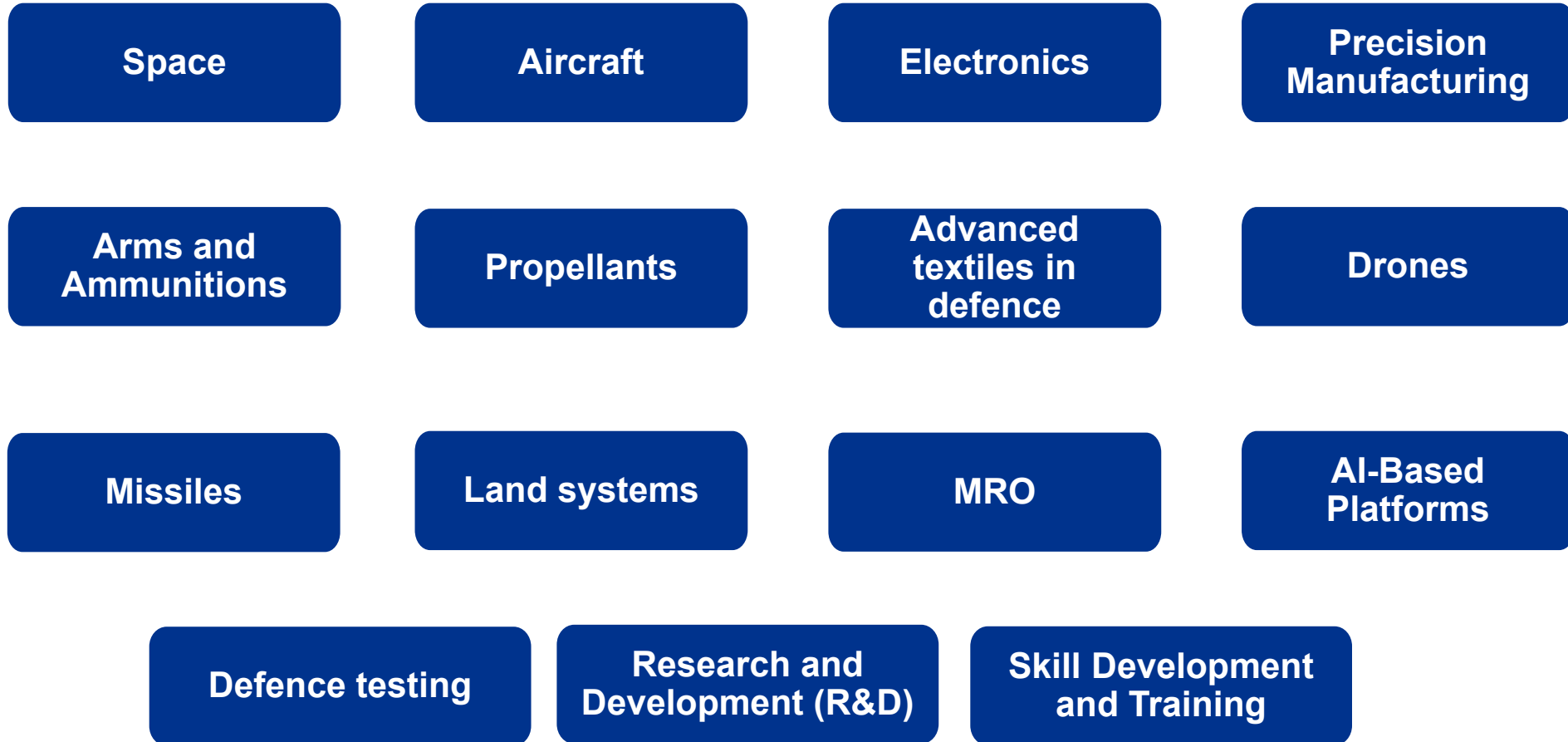
Total land required in Ha : 1573.541








■ Mega Anchor Units ■ Anchor Units ■ MSMEs Units

Mega Anchor Units - 05 ; Anchor Units - 14 ; MSME Units - 87





Upcoming Domains in UPDIC



Major projects upcoming in Uttar Pradesh (1/2)

 <p>BrahMos</p>	 <p>भारत डायनामिक्स लिमिटेड BHARAT DYNAMICS LIMITED</p>		 <p>TATA TECHNOLOGIES</p>	
<ul style="list-style-type: none"> • Node: Lucknow • Proposed investment: INR 300 crores. • Domain: Modern state-of-art production facility. 	<ul style="list-style-type: none"> • Node: Jhansi • Proposed investment: INR 400 crores. • Domain: Missile propulsion system manufacturing unit. 	<ul style="list-style-type: none"> • Node: Kanpur • Proposed investment: INR 1500 crores. • Domain: An integrated ammunition manufacturing facility aiding the development of world-class ecosystem. 	<ul style="list-style-type: none"> • Node: Aligarh/Agra • Proposed investment: INR 500 crores • Domain: Bring up a defence-based Common Facility Centre (CFC) for skill and capability development of startups and MSMEs. 	<ul style="list-style-type: none"> • Node: Kanpur • Proposed investment: INR 3000 crores • Domain: First aircraft manufacturing transport setter jet industry from a private sector in the state and UPDIC.

Major projects upcoming in Uttar Pradesh (2/2)

			
<ul style="list-style-type: none">• Node: Lucknow/Kanpur• Proposed investment: INR 3500 crores.• Domain: Setting up a manufacturing plant for LEO & GEO satellites, which is going to be the first space-based industry in the state & corridor.	<p>Ancor Research Labs LLP</p> <ul style="list-style-type: none">• Node: Aligarh• Proposed investment: INR 550 crores.• Domain: Drones, Electronic Warfare Sensors, Radio Direction Finders & Testing Complex.	<p>Amitec Electronics Ltd.</p> <ul style="list-style-type: none">• Node: Aligarh• Proposed investment: INR 300 crores.• Domain: Electronic Warfare System and Satellite Space Port.	<p>Aerolloy Technologies Ltd.</p> <ul style="list-style-type: none">• Node: Lucknow• Proposed investment: INR 320 crores.• Domain: Titanium Castings



UP Defence & Aerospace unit and employment promotion policy – 2018 (as amended)

Reference to Notifications and Government Orders

- a) **Notification No.** - 2792/77-6-18-L.C.03/2018, Lucknow dated July 16, 2018
- b) **Notification No.** - 976/77-6-2019-L.C.03/2018, Lucknow dated December 05, 2019
- c) **G.O. No.** - 30/2020/1651/77-6-2020-L.C.-03/2018 Lucknow dated-17-Sep-2020
- d) **Notification No.** - 02/2021/126/77-6-21-L.C.03/2018 T.C.1 Lucknow dated- 11-Jan-2021
- e) **Notification No.** - 36/2022/2090/77-6-2022-LC-03/18 Lucknow dated 17-Aug-2022

Policy Objective

- i. Policy aims at attracting private investments in the defence manufacturing sector in the state.
- ii. Elevate Uttar Pradesh as the most preferred destination for Defence & Aerospace manufacturing.
- iii. Bridge market gaps, connect ancillary units of state to meet the requirements of Defence PSUs.
- iv. To establish and facilitate the industrial cluster which would flourish in aligned area of expressway and dedicated defence/aerospace corridors.
- v. To promote ancillary industry and development of MSMEs in the D&A sector.
- vi. Promote research and development in D&A sector with continuous technology upgradation.
- vii. Establishment of Technical Facilitation Centres at Kanpur and BHU Varanasi and other places, to provide assistance to MSME and D&A industry in Uttar Pradesh.
- viii. To promote and support skill development and strategic knowledge field and D&A sector.
- ix. Established CFCs (Common Facility Centres) to guide and assist MSMEs.
- x. Establishment of Defence Testing Infrastructure (DTI) facility under the Government of India DTI scheme.

Definitions (1/3)

1. D&A products

Defence/aerospace unit products will include materials, equipment/component assembling units, sub assembly and components. For the transportation of D&A products, special logistics vehicles/warehousing will also be considered under D&A units.

2. D&A units

All suppliers in D&A value chain manufacturing the D&A products:

- i. Mega anchor D&A units
- ii. Anchor D&A units
- iii. Vendors D&A units
- iv. MSME units

Definitions (2/3)

2. (i) Mega anchor Defence and Aerospace units

- i. Global/Indian OEMs with investment more than **INR 1,000 crores**.
- ii. The company should have secured defence order worth at least INR 50 crore from MoD, MHA, GoI or their authorised equivalent in foreign countries or civilian aerospace manufacturer/ supplier or an MRO facility.
- iii. Atleast **25%** value of their finished D&A goods to be supplied to the above agencies.

2. (ii) Anchor D&A units:

Global/Indian OEMs falls in this category as tabulated below–

Investment Region	Eligibility Criteria
Bundelkhand & Purvanchal	Investing more than INR 200 crores or creating atleast 1000 direct employment
Madhyanchal & Paschimanchal (except GB Nagar, Ghaziabad)	Investing more than INR 300 crores or creating at least 1500 direct employment
Except GB Nagar & Ghaziabad	Investing more than INR 400 crores or creating at least 2000 direct employment

Definitions (3/3)

- i. The company should have secured defence order worth at least **INR 30 crore** from MoD, MHA, GoI or their authorised equivalent in foreign countries or civilian aerospace manufacturer/ supplier or an MRO facility.
- ii. Atleast **25%** value of their finished D&A goods to be supplied to the above agencies.

Or

Supplier with at least **50%** of its turnover coming from manufacturing & supply to Mega Anchor and Anchor D&A unit.

2. (iii) Vendor D&A units:

Units located in the same cluster supplying at-least **40%** of its end products to the Anchor D&A unit.

2. (iv) MSME Units:

The MSME unit will qualify as a D&A supplier if at least 50% of its turnover comes from manufacturing and supply to a Mega Anchor or Anchor D&A unit or Vendor D&A units or to Defence PSUs.

Policy incentives

Capital Subsidy

- i. All D&A manufacturing units will be eligible for back ended capital subsidy at the rate of **7%** (upto extent of **INR 500 crore**).
- ii. All the D&A manufacturing units established in **Bundelkhand region** will be eligible for back ended capital subsidy at the rate of **10%** (upto extent of **INR 500 crores**).

Note:

- Calculation of Capital subsidy will be based on Fixed assest less land cost.
- Subsidy amount to be reimbursed to each manufacturing units at not more than **INR 50 crores/per year**. Subsidy greater than **INR 50 crores** will be paid in the next financial year upto the amount of **INR 50 crores** in instalment.
- As per the policy, the proposed eligible investment tenure for the D&A units of various categories within the effective period of policy will be as follows: -
 - a. **Mega Anchor Units:** 7 years from the starting date of investment or commercial date of production whichever is earlier.
 - b. **Anchor Units:** 5 years from the starting date of investment or commercial date of production whichever is earlier.
 - c. **Other D&A units (MSME/ vendor units/start-ups):** 4 years from the starting date of investment or commercial date of production whichever is earlier.

Incentives on Land

- i. Anchor D&A units, will be given a rebate of **25%** of the gross selling price of the land in the Defence Corridor.
Anchor D&A units allowed to install vendor's unit in **20%** of their land area.

Rebate on Transportation Charges

- i. Transporting plant & machinery:

Mega Anchor and Anchor D&A Units shall be eligible for transportation subsidy of **50%** of cost, subject to **overall ceiling of INR 2 crores**, on transportation of imported equipment, plant and machinery from logistics parks/transport hubs and harbor/port to the place of production in the state.

Note: This subsidy shall be applicable for projects with contract value **greater than or equal to INR 50 Cr** and will be provided only up to the date of start of first year of production.

- ii. Transporting finished products:

Mega Anchor and Anchor D&A Units shall be eligible for transportation subsidy of **30%** of cost up to a **maximum limit of INR 1 crore per annum** on transportation of finished products from the unit to logistics parks/transportation hubs, harbour/port for a period of 5 years from the date of start of commercial production.

Subsidy to set up Effluent Treatment Plant

20% reimbursement on project cost for setting up ETP up to maximum INR 1 crore.

Technology Transfer Subsidy

Anchor D&A units shall be reimbursed 75% of the cost of technology transfer towards first 5 vendor units and 50% towards next 5 vendor units, subject to maximum INR 50 lakh towards each vendor unit in the same cluster.

Patent cost/quality certification

- i. **Patent Fee Reimbursement:**
 - a. 100% reimbursement for domestic patent registration.
 - b. 50% reimbursement for international patent registration subject to maximum of INR 25 lakh per D&A unit, subject to maximum INR 1 crore per annum.
- ii. **Quality certification:** 100% reimbursement of certification fees up to maximum INR 1 lakh per D&A unit per annum, subject to overall ceiling of INR 20 lakh per annum.
- iii. **Trademark registration:** Application fees would be fully reimbursed, upto maximum INR 1 lakh per unit per annum, subject to overall ceiling of INR 10 lakh per annum for all units.

Policy incentives private D&A park

- i. Capital subsidy for development of D&A Park.
- ii. Strategic developers can purchase land from UPEIDA or can also take land at their level.
- iii. D&A and strategic developers will be eligible for back ended subsidy on infrastructure development up to maximum of **INR 10 crore** at a rate **10%** of gross investment, with condition that the Defence/Aerospace Park is developed in minimum 50-acre land.
- iv. D&A Park in Bundelkhand region will get **15%** additional capital investment subsidies up to limit of **INR 15 crore**.
- v. **50%** subsidy will be applicable after acquisition of **25%** land of the park and **100%** subsidy will be applicable on acquisition of 50% land of the park.

Support to Common Facility Centre

- i. For the establishment of CFC, the state government will give 25% grant along with grant of 75% from Government of India.
- ii. State government will provide a promotional incentive exemption to establishment of a CFC at each node in the form of land.

Infrastructure facilities

Electrical system, water supply, sewer and road facilities will be provided in newly developed industrial area acquired under defence node.

Peripheral boundary wall will be constructed in the newly developed industrial area acquired under defence node.

Industrial Security

Integrated police cum fire station will be established in the industrial cluster/area.



Thank You

Uttar Pradesh Defence Industrial Corridor



Annexures (Forms)

Form -1

The applicant needs to submit the following information along with the supporting documents while applying							Comment	
1	Name of the defence company							
2	Name of the defence product to be manufactured or supplied							
3	Registered address details							
4	Contact details							
5	Total amount to be invested							
6	a) MSME/Industrial Entrepreneur Registration (IES) b) Certificate of Incorporation of the company c) Memorandum of Association (MoA) d) Articles of Association e) Pan registration f) GST registration							
7	a) Chartered Accountant Certificate in the following format:							
	Year (Last 03 Financial Years)	Paid up share capital	Inventory	Net worth	Net Profit	Gross Sales		Trade in defence goods
	b) ISO Certification (if any)							
	c) The company/ undertaking should not have been expelled/blacklisted by any State / Central Government Undertaking / Newly formed DPSUs / Ministry of Defense at the time of applying for land in Defense Industrial Corridor of Uttar Pradesh							
	d) Copy of work order for supply of products similar to those proposed in the application proposal.							
	e) Copy of MoU between the applicant firm and the technology provider.							
8	Copy of work orders for similar products supplied by the firm earlier.							
9	Copy of Detailed Project Report (DPR) with justification for plot layout and land area.						(to be continued)	

Form -1

The applicant needs to submit the following information along with the supporting documents while applying			Comment
10	<p>Products listed under the Parahs 2.5 of the Uttar Pradesh Defence and Aerospace Unit and Employment Promotion Policy- 2018 (as amended)- (Yes/No)</p> <p>If Yes, then mention the serial number of the above para 2.5.</p>		
11	<p>Node: Area of land required: <u>Uttar Pradesh Defence and Aerospace Unit and Employment Promotion Policy- 2018 (as amended) Para-3.3</u></p> <p>a) Material / equipment related to defence / aerospace sector as defined earlier should have been supplied.</p>		
	<p>b) It shall be mandatory to fulfil at least in the following criteria.</p> <ul style="list-style-type: none"> i. The material/equipment is supplied in the Defence/Aerospace sector as defined earlier. ii. A financial grant or supply order must have been received from D&A unit as previously defined. iii. Memorandum of Understanding has been signed or received a supply order from any foreign OEM under the scheme of offset of the MoD, Government of India. iv. Worked in the field of design, fabrication and development of the Army, Navy, Air force, Paramilitary establishment, DRDO, DOA & DOS. v. Manufactured or supplied, testing and proofing material, equipment components assembling units, sub-assembly components for any Indian or foreign OEM, categorised under Defence and Aerospace sector as defined above. 		
12			
	a) Mega Anchor Unit Investment	>=1000 crores	NIL
	b) Anchor Unit Investment <ul style="list-style-type: none"> i. Bundelkhand ii. Madhyanchal and Pashchimanchal (except Gautam Budh Nagar and Ghaziabad) iii. Except Gautam Budh Nagar and Ghaziabad 	i. >=200 ii. >=300 iii. >=400	i. Or minimum 1000 no. of direct employment ii. Or minimum 1500 no. of direct employment iii. Or minimum 2000 no. of direct employment
	c) Vendor Defence & Aerospace Unit		
	d) Micro, Small and Medium Enterprises (MSME) units and CFCs.		
	e) Defence Public Sector Unit (DPSU/PSU)		
	f) Others		
	Signature of the applicant and date		

Form-2

	Applicant undertaking details	
1	Name of the industrial undertaking	
2	Formation industrial undertaking	Please mark whether Public Ltd. / Pvt. Ltd. / Partnership etc.
3	Registered office address: i. Phone: ii. Mobile no.: iii. Email ID:	
4	Owners Name: i. Mobile no.: ii. Email ID:	
5	Designated contact details: i. Name: ii. Designation: iii. Phone no.: iv. Mobile no.: v. Email ID:	
6	VAT Registration	
7	CST Registration	
8	UPEIDA land allotment acknowledgement number	
	Applicant Signature Date	

Form-3

Implementation of the Uttar Pradesh Defence and Aerospace Unit and Employment Promotion Policy- 2018 (as amended)

as per Guidelines Para- 7

Advance application

	Types of Incentives	Yes/No.
1	Land Incentive	
2	Capital Investment Subsidy to A&D MSME units	
3	Promotion of skill development	
4	Stamp duty exemption	
5	Lease rent subsidy	
6	Patent fee reimbursement	
7	Quality registration	
8	Trademark registration	
9	Transportation of plant and machinery	
10	Transportation of finished products	
11	Subsidy for setting up Effluent Treatment Plant	
12	Technology Transfer Subsidy	
13	Subsidy for skill development	
14	Assistance for Research and Development and testing facilities	

Signature of the applicant

Date

Form - 4

Implementation of the Uttar Pradesh Defence and Aerospace Unit and Employment Promotion Policy- 2018 (as amended)
As per Guidelines Para-7.6

Skilled Manpower Details

1	Total number of employees	
2	Number of people essential for skill development	
3	Technical (out of 6.2)	
4	Managerial (out of 6.2)	

Signature of the applicant
Date

Form 5 – Capital Subsidy

	List of requisites	Information shared by Investor
1	Name of the company	
2	Name of product/domain/subdomain	
3	Category of the company	Mega Anchor/Anchor/MSME/Startup
4	Registered address	
5	Contact information (phone and email)	
6	Documents to be attached with the form(attested)	
7	a. Certificate of Incorporation b. Memorandum of association c. MSME certificate (in the case of MSME) d. Startup India/state registration information (in case of startup) e. Pan Registration f. GST Registration g. Certificate by CA	a. Yes/No b. Yes/No c. Yes/No d. Yes/No e. Yes/No f. Yes/No g. Yes/No
8	Total Investment in the project (In INR)	
9	Estimated capital investments for three consecutive financial years (In INR)	
	FY1	
	FY2	
	FY3	
10	Incentive applied for	
	7% capital subsidy (in case of non- Bundelkhand region)	Yes/No
	10% capital subsidy (in case of non- Bundelkhand region)	Yes/No
11	Signature of the Authorised Signatory with Date	
Note	1. Policy implementation date – notification number- 36/2022/2090/77-6-2022-LC-03/18 Lucknow dated 17-Aug-2022. 2. Eligibility for capital incentives – I. In case of mega anchor units, 7 years from the starting date of investment that will be within the validity period of the policy or commercial date of production whichever is earlier. II. In case of anchor units, 5 years from the starting date of investment that will be within the validity period of the policy or commercial date of production whichever is earlier. III. In case of other D&A units (MSME/ vendor units/start-ups), 4 years from the starting date of investment that will be within the validity period of the policy or commercial date of production whichever is earlier.	

Form 6 – Defence Testing Infrastructure

	List of requisites	Information shared by Investor
1	Name of DTI domain	
2	Name of the L1 bidder	
3	Name of the consortium members with partnership %age	
	L 1bidder	
	Member 1	
	Member 2	
	Member 3	
4	Registered address of the section 8 company	
5	Contact information (phone and email)	
6	Documents to be attached with the form(attested)	
7	a. Certificate of Incorporation b. Memorandum of association c. Pan Registration d. GST Registration e. Certificate by CA	a. Yes/No b. Yes/No c. Yes/No d. Yes/No e. Yes/No
8	Total project cost (In INR)(excluding the cost of land)	
9	Land required from the implementation agency (UPEIDA)	Yes/No
10	Financial support required from the implementation agency (UPEIDA)	Yes/No
11	Value of financial support required from the implementation agency	
a.	Percentage of investment with respect to the project cost (shall not exceed 40%)	
b.	Value of investment in INR with respect to the project cost (shall not exceed 40%)	
12	Signature of the Authorised Signatory with Date	
	Note – The lowest bidder will be given a time period of 30 days from the date of issue of the Letter of Award to constitute the SPV for the project. Post successful formation of SPV and approval of the implementation agency, the SPV will be eligible to apply for the said incentive.	

Form 7 – Common Facility Center

	List of requisites	Information shared by Investor
1	Name of the company/companies in the consortium	
2	Offerings of CFC	
4	Registered address	
5	Contact information (phone and email)	
6	Documents to be attached with the form(attested)	
7	a. Certificate of Incorporation b. Memorandum of association c. Pan Registration d. GST Registration e. Certificate by CA	a. Yes/No b. Yes/No c. Yes/No d. Yes/No e. Yes/No
8	Total project cost (In INR)(excluding the cost of land)	
9	The land is required from the implementation agency (UPEIDA)	Yes/No
10	Node and area of land required from the implementation agency (UPEIDA) in Hectares	
11	Financial support is required from the implementation agency (UPEIDA)	Yes/No
12	Value of financial support required from the implementation agency	
a.	Percentage of investment with respect to the project cost	
b.	Value of investment in INR with respect to the project cost	
13	Signature of the Authorised Signatory with Date	
	Note – The Common Facility Center will assist in the additional effort to the ecosystem of the D&A manufacturing in the state, and the State Government will provide a promotional incentive exemption to establishment of a Common facility center at each node in the form of land which is pre-identified in each node's area. There is also a provision of soft loan for the establishment of CFC. The CFC will be a collective collaborative effort in which MSME; Defence/Aerospace sector will participate. For the establishment of CFC, the state Government will give 25% grant only when 75% of grant is given by the Government of India.	